



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Kiyohito YOSHIHARA et al.**

Serial Number: **09/963,705**

Group Art Unit: **2161**

Filed: **September 27, 2001**

Examiner: **Unassigned**

For: **MANAGEMENT DEVICE AND MANAGED DEVICE IN POLICY BASED
MANAGEMENT SYSTEM**

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents
Washington, D.C. 20231

January 18, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

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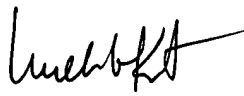
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Attorney Docket No. 011153
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The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

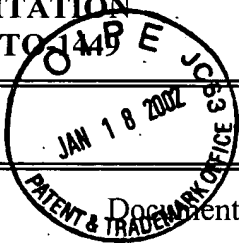


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Enclosures: PTO-1449 with 3 References

INFORMATION DISCLOSURE CITATION PTO	Atty. Docket No. 011153	Serial No. 09/963,705
	Applicant: Kiyohito YOSHIHARA et al.	
	Filing Date: September 27, 2001	Group Art Unit:



U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	<p style="text-align: center;">RECEIVED</p> <p style="text-align: center;">JAN 23 2002</p> <p style="text-align: center;">Technology Center 2100</p>				
_____	AB					
_____	AC					
_____	AD					
_____	AE					

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
_____	AF		
_____	AG		
_____	AH		
_____	AI		
_____	AJ		

OTHER DOCUMENTS

_____	AK	<i>Policy Management Requirements</i> , Cheh Goh, Extended Enterprise Laboratory HP Laboratories Bristol HPL-98-64, April 1998, Hewlett-Packard Company 1998 pp. 1-7.		
_____	AL	<i>Active Policy in Knowledge Hyperspace</i> , Takeo Hamada et al., In Proc. of 1999 Asia-Pacific Network Operations and Management Symposium (APNOMS'99), September 1999, pp. 1-15.		
_____	AM	<i>Designing Policy Networking Systems Using Active Networks</i> , Kei Kato et al., H. Yasuda (Ed.): IWAN 2000, LNCS 1942, pp. 316-325, 2000, Springer-Verlag Berlin Heidelberg 2000.		
<table border="1" style="width: 100%;"> <tr> <td>Examiner</td> <td>Date Considered</td> </tr> </table>			Examiner	Date Considered
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